

## BOARD OF DIRECTORS PART ONE

|   |   |      |                           |
|---|---|------|---------------------------|
| Agenda Items  | P1-073/074/075/076-18   | Date | 15 <sup>th</sup> May 2018 |
| Subject /title  | Annual Report, Financial Accounts and Quality Accounts                                      |      |                           |
| Author  | Andrea Leather, Head of Corporate Governance<br>Kate Greaves, Associate Director of Quality |      |                           |
| Responsible Director  | Ann Farrar, Interim Chief Executive<br>Sheila Lloyd, Director of Nursing & Quality          |      |                           |
| Executive summary and key issues for discussion   |   |      |                           |
| <p>This report presents the Trust's Annual Report which includes the Annual Governance Statement; Annual Report; Annual Accounts; and Quality Report (Accounts) for 2017/18.</p> <p>The report has been prepared in line with the 'NHS Foundation Trust Annual Reporting Manual 2017/18' and the NHS Improvement guidance 'Requirements for Quality Reports 2017/18'. All sections of the report are subject to external audit and are presented to the Board for approval.</p> <p>The Trust is required to submit the final approved reports to NHS Improvement by the 29<sup>th</sup> May 2018 and lay the Annual Report before Parliament by the 25<sup>th</sup> June 2018.</p> <p>The Audit Committee meeting to review the reports and make a recommendation is scheduled for the morning of the Board meeting due to the audit timetable, and therefore the recommendation will be made verbally.</p> |   |      |                           |
| Strategic context and background papers (if relevant)   |   |      |                           |
|   |   |      |                           |
| Recommended Resolution  |   |      |                           |
| <p>The Board is asked to:</p> <ul style="list-style-type: none"><li>• Approve the Annual Governance Statement 2017/18;</li><li>• Approve the Annual Report 2017/18;</li><li>• Approve the Annual Accounts 2017/18; and</li><li>• Approve the Quality Report (Accounts) 2017/18.</li></ul>   |   |      |                           |
| Risk and assurance  |   |      |                           |
|   |   |      |                           |
| Link to CQC Regulations   |   |      |                           |
| Regulation 17: good governance  |   |      |                           |
| Resource Implications   |   |      |                           |
|   |   |      |                           |
| Key communication points (internal and external)  |   |      |                           |
| <p>The Annual Report &amp; Accounts including the Quality Report are published by NHS Improvement on their website. The Trust at its Annual Members Meeting will have copies available and the report will also be available on the Trust website.</p> <p>In addition the Quality Accounts (Quality Report) are to be published on the DH (NHS</p>  |   |      |                           |

Choices) website by 30<sup>th</sup> June 2018.

### Freedom of Information Status

FOI exemptions must be applied to specific information within documents, rather than documents as a whole. Only if the redaction renders the rest of the document non-sensical should the document itself be redacted.

#### Application Exemptions:

- **Prejudice to effective conduct of public affairs**
- **Personal Information**
- **Info provided in confidence**
- **Commercial interests**
- **Info intended for future publication**

Please tick the appropriate box below:

|                                     |
|-------------------------------------|
| <input checked="" type="checkbox"/> |
| <input type="checkbox"/>            |
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**A. This document is for full publication**

**B. This document includes FOI exempt information**

**C. This whole document is exempt under FOI**

IMPORTANT:

If you have chosen B above, highlight the information that is to be redacted within the document, for subsequent removal.

Confirm to the Trust Secretary, which applicable exemption(s) apply to the whole document or highlighted sections.

### Equality & Diversity impact assessment

| Are there concerns that the policy/service could have an adverse impact because of: | Yes | No                                  |
|---|-----|-------------------------------------|
| Age   |     | <input checked="" type="checkbox"/> |
| Disability  |     | <input checked="" type="checkbox"/> |
| Sex (gender)  |     | <input checked="" type="checkbox"/> |
| Race  |     | <input checked="" type="checkbox"/> |
| Sexual Orientation  |     | <input checked="" type="checkbox"/> |
| Gender reassignment   |     | <input checked="" type="checkbox"/> |
| Religion / Belief   |     | <input checked="" type="checkbox"/> |
| Pregnancy and maternity   |     | <input checked="" type="checkbox"/> |
| Civil Partnership and Marriage  |     | <input checked="" type="checkbox"/> |

If YES to one or more of the above please add further detail and identify if full impact assessment is required.

### Next steps

- 29.5.18 Submission of audited version to NHS Improvement via MARS portal.
- 29.5.18 Submission of the Limited assurance report and auditors' report to the governors in relation to the Quality Accounts.
- 25.6.18 Annual report and accounts laid before parliament.
- 16.7.18 Laid reports submitted to NHS Improvement via MARS portal.

Quality Accounts posted on NHS Choices and the Trusts website no later than 30<sup>th</sup> June 2018.

### Appendices

### Strategic Objectives supported by this report

|   |                                     |                                       |                                     |
|---|-------------------------------------|---------------------------------------|-------------------------------------|
| Improving Quality   | <input checked="" type="checkbox"/> | Maintaining financial sustainability  | <input checked="" type="checkbox"/> |
| Transforming how cancer care is provided across the Network |                                     | Continuous improvement and innovation |                                     |
| Research  |                                     | Generating Intelligence               |                                     |

|  |   |   |  |
|--|---|---|--|
|  |   |   |  |
| <b>Link to the NHS Constitution</b>                  |   |   |  |
| <b>Patients</b>                                      |   | <b>Staff</b>  |  |
| Access to health care                                | ✓ | <i>Working environment</i><br>Flexible opportunities, healthy and safe working conditions, staff support  |  |
| Quality of care and environment                      | ✓ | <i>Being heard:</i> <ul style="list-style-type: none"> <li>• Involved and represented</li> <li>• Able to raise grievances</li> <li>• Able to make suggestions</li> <li>• Able to raise concerns and complaints</li> </ul> |  |
| Nationally approved treatments, drugs and programmes |   |   |  |
| Respect, consent and confidentiality                 |   |   |  |
| Informed choice                                      |   | Fair pay and contracts, clear roles and responsibilities  |  |
| Involvement in your healthcare and in the NHS        |   | Personal and professional development   |  |
| Complaint and redress                                |   | Treated fairly and equally  |  |